

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112300-1403

In Re Application Of: Anthony J. Baerlocher

MAY 17 2004

Serial No.
10/644,309Filing Date
August 20, 2003Examiner
UnknownGroup Art Unit
UnknownTitle: **METHOD AND APPARATUS FOR DETERMINING A GAMING DEVICE AWARD**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Unknown

Group Art Unit

Unknown

METHOD AND APPARATUS FOR DETERMINING A GAMING DEVICE AWARD

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818**
as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on May 13, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Adam H. Masia
Signature

Dated: May 13, 2004

Adam H. Masia (Reg. No. 35,602)
Bell Boyd & Lloyd LLC
P.O.Box 1135
Chicago, Illinois 60690-1135
Telephone: (312) 807-4284

CC:

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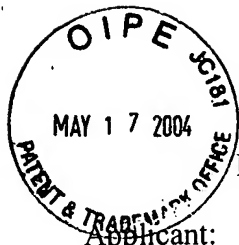
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Baerlocher
Appl. No.: 10/644,309
Conf. No.: Unknown
Filed: August 20, 2003
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AWARD
Art Unit: Unknown
Examiner: Unknown
Docket No.: 112300-1403

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

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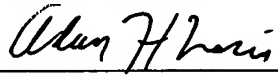
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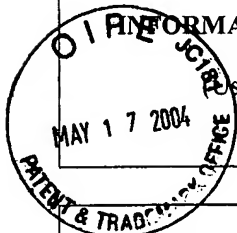
Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 
Adam H. Masia
Reg. No. 35,602
P.O. Box 1135
Chicago, Illinois 60690-1135
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Dated: May 13, 2004



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		Applicant Anthony J. Baerlocher	
		Filing Date August 20, 2003	Group

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	6,059,658	May 9, 2000	Mango, et al.			
	6,062,980	May 9, 2000	Luciano			
	6,089,976	July 18, 2000	Schneider et al.			
	6,089,977	July 18, 2000	Bennett			
	6,089,978	July 18, 2000	Adams			

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	Filing Date August 20, 2003	Group

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	6,093,102	July 25, 2000	Bennett			
	6,102,798	August 15, 2000	Bennett			
	6,120,031	September 19, 2000	Adams			
	6,126,541	October 3, 2000	Fuchs			
	6,126,542	October 3, 2000	Fier			
	6,135,884	October 24, 2000	Hedrick et al.			
	6,142,873	November 7, 2000	Weiss et al.			
	6,142,874	November 7, 2000	Kodachi et al.			
	6,142,875	November 7, 2000	Kodachi et al.			
	6,146,273	November 14, 2000	Olsen			
	6,149,156	November 21, 2000	Feola			
	6,159,095	December 12, 2000	Frohm et al.			
	6,159,096	December 12, 2000	Yoseloff			
	6,159,097	December 7, 2000	Gura			
	6,159,098	December 12, 2000	Slomiany et al.			
	6,162,121	December 19, 2000	Morro et al.			
	6,164,652	December 26, 2000	Lauretta et al.			
	6,168,520 B1	January 2, 2001	Baerlocher et al.			
	6,168,523 B1	January 2, 2001	Piechowiak et al.			
	6,173,955 B1	January 16, 2001	Perrie et al.			
	6,174,233 B1	January 16, 2001	Sunaga et al.			

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	6,174,235 B1	January 16, 2001	Walker et al.			
	6,190,254 B1	February 20, 2001	Bennett			
	6,190,255 B1	February 20, 2001	Thomas et al.			
	6,203,429 B1	March 20, 2001	Demar et al.			
	6,210,279 B1	April 3, 2001	Dickinson			
	6,213,876 B1	April 10, 2001	Moore, Jr.			
	6,224,483 B1	May 1, 2001	Mayeroff			
	6,227,971 B1	May 8, 2001	Weiss			
	6,231,442 B1	May 15, 2001	Mayeroff			
	6,231,445 B1	May 15, 2001	Acres			
	6,234,897 B1	May 22, 2001	Frohm et al.			
	6,254,481 B1	July 3, 2001	Jaffe			
	6,261,177 B1	July 17, 2001	Bennett			
	6,302,790 B1	October 16, 2001	Brossard			
	6,305,686 B1	October 23, 2001	Perrie et al.			
	6,309,300 B1	October 30, 2001	Glavich			
	6,312,334 B1	November 6, 2001	Yoseloff			
	6,315,660 B1	November 13, 2001	DeMar et al.			
	6,315,664 B1	November 13, 2001	Baerlocher et al.			
	6,319,124 B1	November 20, 2001	Baerlocher et al.			
	6,322,309	November 27, 2001	Thomas et al.			

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	6,328,649 B1	December 11, 2001	Randall et al.			
	6,343,988 B1	February 5, 2002	Walker et al.			
	6,346,043 B1	February 12, 2002	Colin et al.			
	6,347,996 B1	February 19, 2002	Gilmore et al.			
	6,364,766 B1	April 2, 2002	Anderson et al.			
	6,364,767 B1	April 2, 2002	Brossard et al.			
	6,368,218 B2	April 9, 2002	Angell, Jr.			
	6,375,187 B1	April 23, 2002	Baerlocher et al.			
	6,398,644 B1	June 4, 2002	Perrie et al.			
	6,413,161 B1	July 2, 2002	Baerlocher et al.			
	6,428,412 B1	August 6, 2002	Anderson et al.			
	6,439,995 B1	August 27, 2002	Hughs-Baird et al.			
	6,443,837 B1	September 3, 2002	Jaffe et al.			
	6,464,582 B1	October 15, 2002	Baerlocher et al.			
	6,506,118 B1	January 14, 2003	Baerlocher et al.			
	6,514,141 B1	February 4, 2003	Kaminkow et al.			
	6,565,432 B2	May 20, 2003	Moody			
	6,572,471 B1	June 3, 2003	Bennett			
	6,575,830 B2	June 10, 2003	Baerlocher et al.			
	6,582,307 B2	June 24, 2003	Webb			
	6,585,591	July 1, 2003	Baerlocher et al.			

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	6,599,185 B1	July 29, 2003	Kaminkow et al.			
	6,602,137 B2	August 5, 2003	Kaminkow et al.			
	6,607,437 B2	August 19, 2003	Casey et al.			
	6,609,974 B2	August 26, 2003	Mead et al.			
	6,632,141 B2	October 14, 2003	Webb et al.			
	2002-0072402 A1	June 13, 2002	Baerlocher			
	2002-0142822	October 3, 2002	Baerlocher et al.			
	2002-0155883 A1	October 24, 2002	Baerlocher			
	2003-0040360 A1	February 27, 2003	Kaminkow			
	2003-0045348 A1	March 6, 2003	Palmer et al.			
	2003-0045350 A1	March 6, 2003	Baerlocher et al.			
	2003-0060260 A1	March 27, 2003	Gerrard et al.			
	2003-0064777 A1	April 3, 2003	Baerlocher			
	2003-0064795 A1	April 3, 2003	Baerlocher et al.			
	2003-0078093 A1	April 24, 2003	Simms et al.			
	2003-0087693 A1	May 8, 2003	Baerlocher et al.			
	2003-0157982 A1	August 21, 2003	Gerrard et al.			
	2003-0162578 A1	August 28, 2003	Baerlocher et al.			
	2003-0162584 A1	August 28, 2003	Hughs-Baird et al.			
	2003-0181233-A1	September 25, 2003	Baerlocher et al.			
	2003-0199314 A1	October 23, 2003	Baerlocher et al.			

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U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	2003-0224846 A1	December 4, 2003	Baerlocher			
	2004-0009805 A1	January 15, 2004	Baerlocher et al.			

FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	10-328351	December 15, 1998	Japan				
	EP 0874337 A1	October 28, 1998	Europe				
	EP 0926645 A2	June 30, 1999	Europe				
	EP 0944030 A2	September 22, 1999	Europe				
	EP 0945837 A2	September 29, 1999	Europe				
	EP 0981119 A2	February 23, 2000	Europe				
	EP 0984408 A2	March 8, 2000	Europe				
	EP 0984409 A2	March 8, 2000	Europe				
	GB 2 137 392 A	October 3, 1984	Great Britain				
	GB 2 144 644 A	August 8, 1983	Great Britain				
	GB 2 191 030 A	December 2, 1987	Great Britain				
	WO 9732285	September 4, 1997	PCT				
	WO 00/12186	March 9, 2000	PCT				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Addams Family Entertainment Advertisement written by IGT and Strictly Slots, published in 2000.

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Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Bonus Times Article written by Strictly slots, published in 2000.
	By George written by IGT, published in 2002.
	Caribbean Gold II Brochure written by Aristocrat Leisure Industries, published in 1998.
	Cash Box Brochure and Article written by Anchor Gaming, published in 2000.
	Description of Let's Make a Deal Television Show (website) written by lestmakesadeal.com, printed March 16, 2001.
	Easy Street Advertisements and Articles written by Casino Data Systems, published in 2000.
	Elvis Advertisement written by IGT, published in 1999.
	Fox "N" Hound Advertisement written by IGT, published in 2000.
	Jackpot Party Brochure and Articles written by WMS Gaming, Inc, published in 1998.
	Keep Your Hat On Advertisement written by Aristocrat, published in 2001.
	Let's Make a Deal (website) written by fortunecity.com (4 pages), printed March 21, 2001.
	Let's Make a Deal (website) written by geocities.com (10 pages), printed March 21, 2001.
	Let's Make a Deal (website) written by Illinoislottery.com (1 page), printed March 21, 2001.
	Let's Make a Deal Advertisement written by Shuffle Master and IGT, published in 1999.
	Little Green Men Advertisement and Article written by IGT, Strictly Slots, published in 2000.
	MegaJackpots Advertisement written by IGT, published in 1998.
	Money Grab Article written by Strictly Slots, published in 2001.
	Money in the Bank Advertisement written by Strictly Slots Konami, published in 2001.
	Monopoly Advertisements and Articles written by WMS Gaming, Inc, Strictly Slots, published in 1998, 1999, 2000
	Monopoly Party Train Article written by Strictly Slots, published in 2002.
	Neon Lights written by IGT, published in 2000.

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Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	On the Money Article written by Strictly Slots, Casino Data Systems, published in 2000.
	Piggy Banking and Big Bang Piggy Banking Brochures, WMS Gaming, Inc., Undated.
	Polly & Roger Advertisement written by VLC, Inc., published in 2000.
	Price is Right "Cliff Hangers" Description (website) written by www.geocities.com/members.aol.com , printed March 21, 2001.
	Price is Right "Showcases" Description (website) written by schuminweb.com, printed March 16, 2001.
	Psycho Cash Beach Club (including knockouts) written by Barcrest, published Prior to 1998.
	Richard Petty Advertisement written by IGT, published in 2000.
	South Park – Dodgeball Advertisement written by IGT, published in 2000.
	Spell Binder Advertisement written by IGT, published in 2000.
	Sphinx Advertisement written by Atronic Casino Technology, Ltd., published in 1997.
	Texas Tea Advertisement written by IGT, published in 2000.
	The Deals of Let's Make a Deal (website) written by fortunecity.com (2 pages), printed on March 16, 2000.
	The Official Let's Make a Deal (Website) written by Bally Gaming System, printed on March 16, 2001.
	Top Cat Advertisement written by WMS Gaming, Inc., published prior to 2000.
	Totem Pole Advertisement written by IGT, published in 1997.
	Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.
	Wheel of Fortune Advertisement written by IGT, published in 1998.
	Wheel of Fortune Advertisement written by IGT, published in 1999.
	Wheel of Poker Article written by Strictly Slots (Anchor Games), published in 2000.

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Examiner's Initials		OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
		Winning Streak Brochure written by Aristocrat Leisure Industries, published in 1994.
		Winning Streak Web Site Description (website) written by WMS Gaming, Inc., printed March 21, 2001.

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